

BID
TO THE SAN FRANCISCO BAY AREA RAPID TRANSIT DISTRICT
FOR
BART EARTHQUAKE SAFETY PROGRAM
SEISMIC RETROFIT MISCELLANEOUS STRUCTURES
CONTRACT NO. 15SV-120

Name of Bidder Proven Management, Inc.

Business Address 712 Sansome Street, San Francisco, CA 94111-1704

Address where notification should be sent 712 Sansome Street
San Francisco, CA 94111-1704

Business office number 415-421-9500 facsimile (Fax) number 415-421-9600

Email address bids@provenmanagement.com (where notification should be sent).

Please indicate how you found out about this contract: (check all that apply)

Through newspaper advertisement

Through BART website

Through one of the plan rooms

Through advance notice to Bidders

Other (_____)

The Work to be done is located in the Counties of Alameda, San Francisco, San Mateo, and Contra Costa, State of California, and is to be done in accordance with the Contract Document which include the Contract Drawings and the referenced sections of BART Facilities Standards, Standard Specifications, Release - R1.2, dated August 2004, and the Contract Book incorporating the General Conditions, Supplementary Conditions, Contract Specifications, and other documents as listed therein.

The undersigned, as Bidder, declares that the only person or parties interested in this Bid as principals are those named herein; that this Bid is made without collusion with any other person, firm or corporation; that the undersigned has carefully examined the location of the proposed Work, the annexed proposed form of Contract, and the Contract Documents therein referred to; and the undersigned proposes and agrees, if this Bid is accepted, that the undersigned will contract with the San Francisco Bay Area Rapid Transit District, in the form of the copy of the Contract annexed hereto, to provide all necessary labor, machinery, tools, apparatus, and other means of construction, and to do all the Work and provide all the materials specified in the Contract, in the manner and time therein prescribed, and according to the requirements of the Engineer as therein set forth, and that the undersigned will take in full payment therefor the following prices:

ADDENDUM No. 1 BID SCHEDULE

For
SAN FRANCISCO BAY AREA RAPID TRANSIT DISTRICT

CONTRACT NO. 15SV-120

BART EARTHQUAKE SAFETY PROGRAM

SEISMIC RETROFIT MISCELLANEOUS STRUCTURES

BID ITEM	SPEC SEC	DESCRIPTION	UNIT	EST QTY	UNIT PRICE	ITEM TOTAL
1.	01 71 13	Mobilization (Note: The amount for Mobilization and Demobilization shall not exceed 10% of the total Bid Price. See Contract Specifications Section 01 71 13).	LS		180,000	180,000
2.	01 57 00	Traffic Maintenance and Control (only)	LS	1	25,000	25,000
3.	02 41 19	Selective Structure Demolition	LS	1	20,000	20,000
4.	N/A	THIS ITEM IS DELETED BY ADDENDUM No. 1	N/A	N/A	N/A	N/A
5.	05 12 00	Elevator Tower Bracing and Structure Retrofit at El Cerrito Del Norte Parking Structure	LS	1	200,000	200,000
6.	05 12 00	Elevator Tower Bracing and Structure Retrofit at Walnut Creek Parking Structure	LS	1	95,000	95,000
7.	05 12 00	Elevator Tower Bracing and Structure Retrofit at Concord Station Parking Structure	LS	1	85,000	85,000
8.	05 12 00	LMA Computer Room - Ceiling Design, Furnishing and Installation	LS	1	400,000	400,000
9.	05 12 00	LMA Computer Room - Lateral Braces and Supports for Existing HVAC Ducts	LS	1	20,000	20,000
10.	05 12 00	LMA Computer Room - Raised Floor Braces	EA	64	500	32,000
11.	05 12 00	LMA Computer Room - Equipment Anchorage Type I	EA	10	1,500	15,000
12.	05 12 00	LMA Computer Room - Equipment Anchorage Type II	EA	100	1,100	110,000
13.	05 12 00	LMA Computer Room - Equipment Anchorage Type III	EA	15	2,100	31,500
14.	05 12 00	LMA Computer Room - Existing Storage Rack / Cabinets Anchorage	LS	1	110,000	110,000
15.	07 60 00	Metal Enclosures at Rockridge Station	LS	1	65,000	65,000
16.	07 60 00	Metal Enclosures at Concord Station	LS	1	40,000	40,000

BID ITEM	SPEC SEC	DESCRIPTION	UNIT	EST QTY	UNIT PRICE	ITEM TOTAL
17.	07 60 00	Metal Enclosures at El Cerrito Plaza Station	LS	1	80,000	80,000
18.	07 60 00	Metal Enclosures at El Cerrito Del Norte Station	LS	1	80,000	80,000
19.	14 21 00	Electric Traction Elevator Maintenance and Repair	LS	1	25,000	25,000
20.	20 70 26 26 05 28	Electrical Work	LS	1	20,000	20,000
21.	21 12 00 21 13 13	Mechanical Work	LS	1	3,500	3,500
22.	27 31 17 28 31 00 28 41 29	Communication Work	LS	1	2,000	2,000
23.	26 60 00	LMA Computer Room - Ceiling Lighting	LS	1	80,000	80,000
24.	01 20 00	Allowance for Partnering	ALLOW			\$5,000
25.	01 20 00	Allowance for Differing Site Conditions	ALLOW			\$150,000
26.	01 20 00	Allowance for District Disruption of Work	ALLOW			\$75,000
TOTAL BID PRICE (SUM OF ITEMS 1 THROUGH 26 INCLUSIVE)					\$	<u>1,949,000</u>

The above item totals for all Bid Items 1 through 26 are submitted by the Bidder for the convenience of the District. In the event of a discrepancy between the Bid unit price and the amount entered in the item total column, the Bid unit price shall govern. The District will calculate the total Bid Price based on the unit prices or lump sum prices bid, as applicable. In the event of a discrepancy between the District's calculations and the item totals for all Bid Items as submitted by the Bidder, the District's calculations shall govern.

The District reserves the right, in its sole discretion, to make no Award, or to make an Award to the lowest responsible Bidder as determined by adjusting the Bid Price for Bidders that are eligible for the Small Business Program preference as described in the Instructions to Bidders Paragraph 14.B.1.

FOR A BID TO BE CONSIDERED, ALL ITEMS MUST BE BID.

THE DISTRICT RESERVES THE RIGHT TO REJECT ANY AND ALL BIDS.

If this Bid shall be accepted and the undersigned shall fail to contract as aforesaid and to give the two bonds in the sums to be determined as specified, with surety satisfactory to the District or comply with the District's insurance requirements within ten (10) Days after the Bidder has received notice from the District that the Contract has been awarded, unless the District, in its discretion, allows additional time in writing for such submissions, the District may, at its option, determine that the Bidder has abandoned the Contract; thereupon this Bid and the acceptance thereof shall be null and void, and the forfeiture of such security accompanying this Bid shall occur and the same shall be the property of the District.

Accompanying this Bid is (1) Bidder's Bond in an amount equal to at least ten percent (10%) of the total Bid Price.

Receipt of the following attached Addenda is hereby acknowledged:

Addenda numbers #1 dated 3/28/2014

The Bidder, Proven Management, Inc.
(name),

hereby certifies that:

(A) Bidder is aware of the provisions of Supplementary Conditions Article SC7.1 regarding the District's Non-Discrimination Program for Subcontracting for the Contract and the requirements for submission therefor, and

(B) Bidder has read the Contract Book, understands its requirements, including those for keeping records, and has asked for explanations of anything it did not understand.

(2) Bidder holds California Contractor's license No. 749370, Classification A, B, HAZ. The license expiration date is 05/31/2014. If the Bidder is a joint venture, list the California Contractor's license numbers, classifications and expiration dates for each joint venturer as follows:

(3)	Name of Joint Venturer	Contractor's License	Classification	Expiration Date
A.	<u>Not applicable</u>	<u></u>	<u></u>	<u></u>
B.	<u></u>	<u></u>	<u></u>	<u></u>
C.	<u></u>	<u></u>	<u></u>	<u></u>

Failure to provide any joint venturer's information as required above may render the Bid non-responsive.

As described in Instructions to Bidders Paragraph 7.H, If Bidder or any Subcontractor is a certified qualified conveyance company as described in California Labor Code Section 7311.1.(a), such company shall execute the Certification of Status as Qualified Conveyance Company and submit a copy of its Certification issued by the State of California, Division of Occupational Safety and Health included in this Bid Form.

Bidder declares under penalty of perjury that the foregoing is true and correct.

Proven Management, Inc.

(4) Name of Bidder

(5) Signature of Bidder

(6) William Gilmartin III

Print Name of Person Signing

Vice President

Print Title of Person Signing

Dated April 7, 2014

NOTES:

- (1) Insert the words "cash," "cashier's check," "certified check," or "Bidder's Bond," as the case may be.
- (2) If the Bidder is joint venture the Bidder may submit its valid State Contractor's license number, classification and expiration date with the Bid, but shall submit such information no later than 2 PM on Friday of the week following the date of Bid opening to the Contract Administrator at the address indicated in the Instructions to Bidders.
- (3) If the joint venture consists of more than three joint ventures, add additional lines as needed.
- (4) If the Bidder is a joint venture, the name of the Bidder and the signature shall match the name of the joint venture and the signature as provided in the joint venture agreement and conform with the requirements in the Instructions to Bidders.
- (5) If the Bidder in its Bid furnished anything not called for by these Contract Documents (a cover letter, Bidder's own form, or a notation on the Bid Form not called for by this form, for example), the Bidder is cautioned that it runs the risk that it has submitted a Bid which does not conform to the District's Contract Documents, and therefore must be rejected.
- (6) Bids shall be presented under sealed cover as provided in the Instructions to Bidders.

WARNING!!! ALL SMALL BUSINESS BIDDERS THAT SEEK TO BE ELIGIBLE FOR THE SMALL BUSINESS PREFERENCE AS DESCRIBED IN PARAGRAPH 7.1 OF THE INSTRUCTIONS TO BIDDERS SHALL COMPLETE THE FOLLOWING DECLARATION:

DECLARATION OF ELIGIBILITY FOR SMALL BUSINESS PREFERENCE

IN ORDER FOR BIDDER TO BE ELIGIBLE FOR THE SMALL BUSINESS PREFERENCE, BIDDER,
not applicable

[Enter Name of Proposer]

, HEREBY CERTIFIES THAT IT IS

CURRENTLY CERTIFIED AS A SMALL BUSINESS BY THE CALIFORNIA DEPARTMENT OF GENERAL
SERVICES (DGS), CERTIFICATION NO. _____.

BY SUBMISSION OF ITS BID, THE ABOVE-REFERENCED SMALL BUSINESS BIDDER HEREBY CONFIRMS
THAT IT MEETS ALL OF THE DGS ELIGIBILITY REQUIREMENTS TO QUALIFY AS A SMALL BUSINESS.

DESIGNATION OF SUBCONTRACTORS AND M/WBE PARTICIPATION FORM

To comply with the requirements of the California Subletting and Subcontracting Fair Practices Act (California Public Contract Code Section 4100 et seq.), Bidder shall list in Part I of this form the name and address of each Subcontractor to whom Bidder proposes to subcontract more than 1/2 of 1% of the Work, and description, and total dollar amount of the portions of the work or services subcontracted, whether the Subcontractor is an MBE or WBE. The above list of information shall be submitted with the Bid. Other information required in Part I herein may be submitted up to 24 hours after the designated time to submit the Bid. Bidder's attention is directed to Article GC5.7.1 of the General Conditions.

In addition in Part II of this form list all MBEs and WBEs not subject to the requirements of General Conditions Article GC5.7.1.

Attach additional copies of this form if more space is needed and paginate the forms (i.e., Page 1 of 6)

Name and Address	MBE (Check if MBE)	WBE (Check if WBE)	Description of Portion of Work or Services Subcontracted	Total Dollar Amount of Subcontracted Work or Services
I. All Subcontractors (MBEs, WBEs and Non-MBEs and Non-WBEs) subject to the requirements of General Conditions Article GC5.7.1				
Name: <u>All-American Elevator Company</u> Address: <u>PO Box 1031</u> <u>Benicia, CA 94510</u> Phone Number: <u>877.653.5123</u> Age of Firm: _____ Annual Gross Receipts as of last Tax Year: \$ _____	<input checked="" type="checkbox"/>		Elevator Maintenance	\$5,000
Name: Cal West Acoustics AN <u>Cal West Acoustics</u> Address: <u>40 4th St.</u> <u>Petaluma, CA 94952</u> Phone Number: <u>415-656-6454</u> Age of Firm: _____ Annual Gross Receipts as of last Tax Year: \$ _____		<input checked="" type="checkbox"/>	Acoustical Ceiling AN Acoustical Ceiling	\$174,000

DESIGNATION OF SUBCONTRACTORS AND M/WBE PARTICIPATION FORM

To comply with the requirements of the California Subletting and Subcontracting Fair Practices Act (California Public Contract Code Section 4100 et seq.), Bidder shall list in Part I of this form the name and address of each Subcontractor to whom Bidder proposes to subcontract more than ½ of 1% of the Work, and description, and total dollar amount of the portions of the work or services subcontracted, whether the Subcontractor is an MBE or WBE. The above list of information shall be submitted with the Bid. Other information required in Part I herein may be submitted up to 24 hours after the designated time to submit the Bid. Bidder's attention is directed to Article GC5.7.1 of the General Conditions.

In addition in Part II of this form list all MBEs and WBEs not subject to the requirements of General Conditions Article GC5.7.1.

Attach additional copies of this form if more space is needed and paginate the forms (i.e., Page 2 of 6)

Name and Address	MBE (Check if MBE)	WBE (Check if WBE)	Description of Portion of Work or Services Subcontracted	Total Dollar Amount of Subcontracted Work or Services
<p>I. All Subcontractors (MBEs, WBEs and Non-MBEs and Non-WBEs) subject to the requirements of General Conditions Article GC5.7.1</p>				
<p>Name: <u>Beci Electric</u></p> <hr/> <p>Address: <u>8137 Capwell Dr.</u> <u>Oakland, CA 94621</u></p> <hr/> <p>Phone Number: <u>510-635-1477</u></p> <p>Age of Firm: _____</p> <p>Annual Gross Receipts as of last Tax Year: \$ _____</p>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<p>Electrical</p>	<p>\$ 50,000</p>
<p>Name: _____</p> <hr/> <p>Address: _____</p> <hr/> <p>Phone Number: _____</p> <p>Age of Firm: _____</p> <p>Annual Gross Receipts as of last Tax Year: \$ _____</p>	<input type="checkbox"/>	<input type="checkbox"/>		

Name and Address	MBE (Check if MBE)	WBE (Check if WBE)	Description of Portion of Work or Services Subcontracted	Total Dollar Amount of Subcontracted Work or Services
All MBEs and WBEs not subject to the requirements of General Conditions Article GC5.7.1				
Name: _____ _____ Address: _____ _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of last Tax Year: \$ _____				
Name: _____ _____ Address: _____ _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of last Tax Year: \$ _____				
Name: _____ _____ Address: _____ _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of last Tax Year: \$ _____				

NONCOLLUSION DECLARATION

For

CONTRACT NO. 15SV-120

BART EARTHQUAKE SAFETY PROGRAM
SEISMIC RETROFIT MISCELLANEOUS STRUCTURES

(To be executed by Bidder and submitted with Bid)

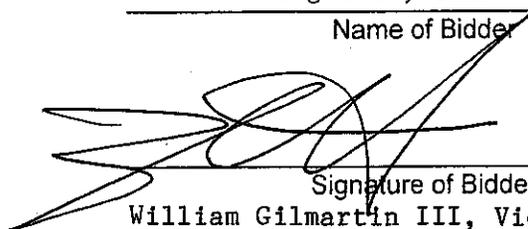
William Gilmartin III, declares that he or she is Vice President of Proven Management, Inc.
(Bidder's Name) (Title) (Company's Name)

the party making the foregoing Bid, that the Bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the Bid is genuine and not collusive or sham; that the Bidder has not directly or indirectly colluded, conspired, connived, or agreed with any Bidder or anyone else to put in a sham Bid, or that anyone shall refrain from bidding; that the Bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix to the Bid Price of the Bidder or any other bidder, or to fix any overhead, profit, or cost element of the Bid Price, or of that of any other bidder, or to secure any advantage against the public body awarding the Contract of anyone interested in the proposed Contract; that all statements contained in the Bid are true; and, further, that the Bidder has not, directly or indirectly, submitted its Bid Price or any breakdown thereof, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

I certify (or declare) under penalty or perjury under the laws of the State of California that the foregoing is true and correct.

Date: 04/07/2014

Proven Management, Inc.
Name of Bidder


Signature of Bidder
William Gilmartin III, Vice President

(Attention is directed to General Conditions Article GC7.1.2
Fair Employment Practices, State Fair Employment and Housing Act.)

FAIR EMPLOYMENT PRACTICES CERTIFICATION

TO THE SAN FRANCISCO BAY AREA RAPID TRANSIT DISTRICT

For

CONTRACT NO. 15SV-120

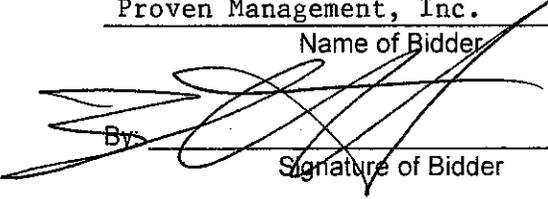
BART EARTHQUAKE SAFETY PROGRAM
SEISMIC RETROFIT MISCELLANEOUS STRUCTURES

The undersigned in submitting a Bid for performing the following work by contract, hereby certifies that it has or will meet the standards of affirmative compliance with the Fair Employment Practices Requirements of the Contract.

Proven Management, Inc.

Name of Bidder

By


Signature of Bidder

William Gilmartin III

Print Name of Person Signing

Vice President

Print Title of Person Signing

712 Sansome Street, San Francisco, CA 94111-1704

Business Address

San Mateo, California

Place of Residence

SAN FRANCISCO BAY AREA RAPID TRANSIT DISTRICT
CERTIFICATION REGARDING FINANCIAL CONTRIBUTIONS

For

CONTRACT NO. 15SV-120

BART EARTHQUAKE SAFETY PROGRAM
SEISMIC RETROFIT MISCELLANEOUS STRUCTURES

(Submit with Bid)

Certification to be executed by Bidder and each proposed first-tier Subcontractor or subsupplier whose Subcontract exceeds \$100,000. Make additional copies of the Certification as necessary.

Bidder must use its best efforts to collect the Certification from each first-tier Subcontractor or subsupplier whose Subcontract exceeds \$100,000 and to submit such Certifications along with its own to the District on the Bid date.

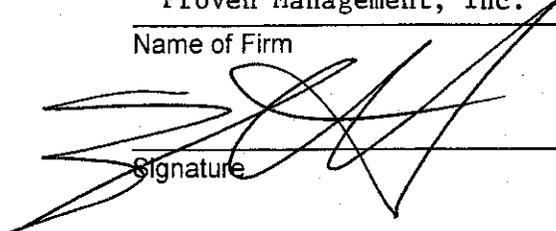
Bidder is advised that all Certifications must be submitted on the Bid date unless there is reasonable cause for delay; however, Bidder is cautioned that unless all Certifications are submitted within five (5) Days after the date Bids are due, the Bid may be considered non-responsive. See Instructions to Bidders for submitting Certifications after the Bid due date.

The undersigned certifies that:

1. It will not make any monetary or in-kind contribution (including loans) to any BART Director, or any candidate for Director, in excess of \$1,000 from the date Bids are opened by the District until the Award of the Contract.
2. It understands that the term "contribution" shall have the same meaning as defined in California Government Code Section 82015 and implementing regulations adopted by the Fair Political Practices Commission.

Proven Management, Inc.

Name of Firm



Signature

04/07/2014

Date

William Gilmartin III

Print Name

Vice President

Print Title

(Attention is directed to Instructions to Bidders Paragraph 7.H., Certification of Status as Certified Qualified Conveyance Company)

**CERTIFICATION OF STATUS AS CERTIFIED
QUALIFIED CONVEYANCE COMPANY**

If the Bidder or any Subcontractor hereunder is a certified qualified conveyance company as described in California Labor Code Section 7311.1.(a), such company hereby certifies, in accordance with the provisions of California Labor Code Section 7311.1.(e) and prior to submitting a Bid, its status as a certified qualified conveyance company. Appended to this Certification is a copy of State of California, Division of Occupational Safety and Health Certification No. CE-07-012849

All American Elevator Co., Inc
Name of Firm

[Signature]
Signature

4-7-14
Date

Steve Rose
Print Name

Manager
Print Title