

BID

TO THE SAN FRANCISCO BAY AREA RAPID TRANSIT DISTRICT

FOR Contract No. 66" Wide Gauge 50 Ton Locomotive., CONTRACT NO. 15TD-220.

Name of Bidder Brookville Equipment Corporation

Business Address 175 Evans Street, Brookville, PA 15825

Address where notification should be sent 175 Evans Street, Brookville, PA 15825

Business office number 814-849-2000 facsimile (Fax) number 814-849-2010

Email address crhoades@brookvillecorp.com (where notification should be sent).

Please indicate how you found out about this Contract: (check all that apply)

Through news-paper advertisement

Through BART web site

Through advance notice to Bidders

Other (_____)

The Work is to be performed in accordance with the Contract Documents which include the Contract Drawings, and the Contract Book incorporating the General Conditions, Supplementary Conditions, Contract Specifications, and other documents as listed therein.

The undersigned, as Bidder, declares that the only person or parties interested in this Bid as principals are those named herein; that this Bid is made without collusion with any other person, firm or corporation; that the undersigned has carefully examined the requirements for the materials, the annexed proposed form of Contract, and the Contract Documents therein referred to; and the undersigned proposes and agrees, if this Bid is accepted, that the undersigned will contract with the San Francisco Bay Area Rapid Transit District, in the form of the copy of the Contract annexed hereto, to do all the Work, furnish and deliver all the materials specified in the Contract, in the manner and time therein prescribed, and according to the requirements of the District's Representative as therein set forth, and that the undersigned will take in full payment therefor the following prices:

BID SCHEDULE

ITEM	SPEC. SEC.	UNIT	EST. QTY.	DESCRIPTION	UNIT PRICE	ITEM TOTAL
1	Article 4 & 5	Each	1	Wheels, Axels, Bearings, Trucks, Frame and Suspension	\$ 1,376,270.92	\$ 1,376,270.92
2	Article 7	Each	1	Engines and Power Train	\$ 870,422.95	\$ 870,422.95
3	Article 16 & 20	Each	1	Cab and Paint/Finish	\$ 719,599.26	\$ 715,599.26
4	Article 32	Each	1	In-Plant Pre-shipment Inspection	\$ 7,444.61	\$ 7,444.61
5	Article 2	Each	1	Shipping, Handling, Delivery and Unloading of 50 Ton Locomotive to the District	\$ 92,101.98	\$ 92,101.98
6	Article 33	Each	1	Performance and Acceptance Testing Performed at BART	\$ 20,878.88	\$ 20,878.88
7	Article 22	Each	35	Manuals, Catalogs, and Handbooks per Specification Section Article 22	\$ 7,807.55	\$ 273,264.40
8	Article 28	Allowance	1	Training Allowance 30 days training	\$140,000.00	\$140,000.00
TOTAL AMOUNT BID FOR ITEMS 1 THROUGH 8 INCLUSIVE						\$ 3,499,983.00

The above item totals for all Bid Items (1 THROUGH 8) are submitted by the Bidder for the convenience of the District. In the event of a discrepancy between the Bid unit price and the amount entered in the item total column, the Bid unit price shall govern. The District will calculate the total Bid Price based on the unit prices or lump sum prices bid, as applicable. In the event of a discrepancy between the District's calculations and the item totals for all Bid Items as submitted by the Bidder, the District's calculations shall govern.

The District reserves the right, in its sole discretion, to make no Award, or to make an Award to the lowest responsible Bidder.

FOR A BID TO BE CONSIDERED, ALL ITEMS MUST BE BID.

THE DISTRICT RESERVES THE RIGHT TO REJECT ANY AND ALL BIDS.

If this Bid shall be accepted and the undersigned shall fail to contract as aforesaid and to give the required Performance Bond in the sum to be determined as specified, with surety satisfactory to the District, or to provide specified Certificates of Insurance within ten (10) Days after the Bidder has received notice from the District that the Contract has been awarded, unless the District, in its discretion, allows additional time in writing for such submissions, the District may, at its option, determine that the Bidder has abandoned the Contract; thereupon this Bid and the acceptance thereof shall be null and void, and

the forfeiture of such security accompanying this Bid shall occur and the same shall be the property of the District.

Accompanying this Bid is ⁽¹⁾ Bid Bond in an amount equal to at least 10 percent of the total Bid.

Receipt of the following attached Addenda is hereby acknowledged:

Addenda numbers 1, 2

The Bidder, Brookville Equipment Corporation
(name),

hereby certifies that:

- (A) Bidder is aware of the provisions of Supplementary Conditions Article SC7.1 regarding the District's Disadvantaged Business Enterprise participation policy for the Contract and the requirements for submission of DBE information; and
- (B) Bidder has read the Contract Book, understands its requirements, including those for keeping records, and has asked for explanations of anything it did not understand.

Bidder declares under penalty of perjury that the foregoing is true and correct.

Brookville Equipment Corporation

²⁾ Name of Bidder

Marion Van Fosson

⁽²⁾ Signature of Bidder

⁽³⁾ Marion Van Fosson, President

⁽⁴⁾ Print Name and Title of Person Signing

Dated September 18, 2015.

NOTES:

- (1) Insert the words "cash," "cashier's check," "certified check," or "Bidder's bond," as the case may be.
- (2) If the Bidder is a joint venture, the name of the Bidder and the signature shall match the name of the joint venture and the signature as provided in the joint venture agreement and conform with the requirements in the Instructions to Bidders.
- (3) If the Bidder in its Bid furnished anything not called for by these Contract Documents (a cover letter, Bidder's own form, or a notation on the Bid Form not called for by this form, for example), the Bidder is cautioned that it runs the risk that it has submitted a Bid which does not conform to the District's Contract Documents, and therefore must be rejected.
- (4) Bids shall be presented under sealed cover as provided in the Instructions to Bidders.

DESIGNATION OF SUBSUPPLIERS AND DBEs FORM

All first-tier Subsuppliers to be used must be listed on this form regardless of dollar value of each transaction.

To assist the District in evaluating DBE participation, Bidder shall list on this form the name and address of all first-tier Subsuppliers to whom Bidder proposes to subcontract. Bidder shall indicate description, and total dollar amount of the portions of the Work or services subcontracted.

Attach additional copies of this form if more space is needed and paginate the forms (i.e., Page 1 of 1).

Name and Address	(Check if DBE) and insert DBE Cert. No. and Cert. Expiration Date	Description of Portion of Work or Services Subcontracted	Total Dollar Amount of Subcontracted Work or Services
I. All first-tier Subsuppliers (DBEs and Non DBEs)			
Name: <u>Brookville Equipment Corporation</u> Address: _____ Phone Number: <u>814-849-2000</u> Age of Firm: <u>95 years</u> Annual Gross Receipts as of Last Tax Year: \$ <u>42,575,000.00</u>	DBE Certificate No: <u>N/A</u> Expiration Date: _____	Engineer, Design, Manufacture Deliver Car Mover	
Name: _____ Address: _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of Last Tax Year: \$ _____	DBE Certificate No: _____ Expiration Date: _____		

Name and Address	(Check if DBE) and insert DBE Cert. No. and Cert. Expiration Date	Description of Portion of Work or Services Subcontracted	Total Dollar Amount of Subcontracted Work or Services
Name: _____ _____ Address: _____ _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of Last Tax Year: \$ _____	DBE Certificate No: _____ _____ Expiration Date: _____ _____		
Name: _____ _____ Address: _____ _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of Last Tax Year: \$ _____	DBE Certificate No: _____ _____ Expiration Date: _____ _____		
Name: _____ _____ Address: _____ _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of Last Tax Year: \$ _____	DBE Certificate No: _____ _____ Expiration Date: _____ _____		

Name and Address	(Check if DBE) and insert DBE Cert. No. and Cert. Expiration Date	Description of Portion of Work or Services Subcontracted	Total Dollar Amount of Subcontracted Work or Services
II. First-tier Subsuppliers who are: Manufacturers (100% credit)			
Name: <u>Brookville Equipment Corporation</u> Address: <u>175 Evans Street</u> <u>Brookville, PA 15825</u> Phone Number: <u>814-849-2000</u> Age of Firm: <u>95 years</u> Annual Gross Receipts as of Last Tax Year: \$ <u>42,575,000.00</u>	DBE Certificate No: <u>N/A</u> Expiration Date: _____	Engineer, Design, Manufacture Deliver Car Mover	
Name: _____ Address: _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of Last Tax Year: \$ _____	DBE Certificate No: _____ Expiration Date: _____		
Name: _____ Address: _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of Last Tax Year: \$ _____	DBE Certificate No: _____ Expiration Date: _____		

Name and Address	(Check if DBE) and Insert DBE Cert. No. and Cert. Expiration Date	Description of Portion of Work or Services Subcontracted	Total Dollar Amount of Subcontracted Work or Services
III. First-tier Subsuppliers who are Regular Dealers: (If DBE Regular Dealers (60% credit). See Supplementary Conditions Article SC7.1.3D.4 for definition of Regular Dealer)			
Name: _____ _____ Address: _____ _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of Last Tax Year: \$ _____	DBE Certificate No: _____ _____ Expiration Date: _____ _____		
Name: _____ _____ Address: _____ _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of Last Tax Year: \$ _____	DBE Certificate No: _____ _____ Expiration Date: _____ _____		
Name: _____ _____ Address: _____ _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of Last Tax Year: \$ _____			

Name and Address	(Check if DBE) and insert DBE Cert. No. and Cert. Expiration Date	Description of Portion of Work or Services Subcontracted	Total Dollar Amount of Subcontracted Work or Services
IV. Other first-tier Subsuppliers: (If DBEs See Supplementary Conditions Article SC7.1.3D.5 for Available Credit)			
Name: _____ _____ Address: _____ _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of Last Tax Year: \$ _____	DBE Certificate No: _____ _____ Expiration Date: _____ _____		
Name: _____ _____ Address: _____ _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of Last Tax Year: \$ _____	DBE Certificate No: _____ _____ Expiration Date: _____ _____		
Name: _____ _____ Address: _____ _____ Phone Number: _____ Age of Firm: _____ Annual Gross Receipts as of Last Tax Year: \$ _____	DBE Certificate No: _____ _____ Expiration Date: _____ _____		

BIDDERS DBE QUESTIONNAIRE

Is Bidder a DBE?
(See Supplementary Conditions
Article SC7.1.1 for definition of DBE)

YES NO

CUCP Certified?

YES NO

If YES, enter Certification Date: _____

Is Bidder a joint venture with DBE partners? ¹

YES NO

If YES, are Joint Venture Forms attached?

YES NO

Percentage (%) of DBE participation in joint venture: N/A %

Age of Firm 95 years

Annual Gross Receipt as of Last Tax Year \$ 42,775,000.00

¹ If the Bidder is a joint venture, only the portion of the total dollar value of the Contract equal to the distinct, clearly defined portion of the work that is performed solely by the DBE's own forces can be counted toward DBE participation. If the work is not clearly delineated between the DBE and the joint venture partner, only the portion of the work equal to the DBE's percentage ownership interest in the joint venture will be counted. See Supplementary Conditions Article SC7.1.3D.1

(Attention is directed to General Conditions Article P7.11, Fair Employment Practices.)

FAIR EMPLOYMENT PRACTICES CERTIFICATION

TO THE SAN FRANCISCO BAY AREA RAPID TRANSIT DISTRICT

The undersigned in submitting a Bid for performing the following work by Contract, hereby certifies that it has or will meet the standards of affirmative compliance with the Fair Employment Practices Requirements of the Contract.

CONTRACT NO. 15TD-220

66" Wide Gauge 50 Ton Locomotive.

Brookville Equipment Corporation

Name of Bidder

By:



Signature of Bidder

Marion Van Fosson, President

Print Name and Title of Person Signing

175 Evans Street, Brookville, PA 15825

Business Address

Brookville, Pennsylvania

Place of Residence

BUY AMERICA CERTIFICATE

Certification requirement for procurement of buses, other rolling stock and associated equipment.

(Submit with Bid)

(Bidder's attention is directed to Supplementary Conditions Article SC7.2. This Buy America Certificate shall be executed by Bidder whose Bid exceeds \$100,000 and submitted with the Bid. Failure to do so will make the Bid non-responsive.)

NOTE: The two signature blocks below are mutually exclusive; Bidder shall sign only one signature block for either the Certificate of Compliance or the Certificate of non-Compliance. Signing both signature blocks shall make the Bid non-responsive.

Certificate of Compliance With 49 USC § 5323(j)(2)(C)

The Bidder hereby certifies that it will comply with the requirements of 49 USC § 5323(j)(2)(C), and the applicable regulations in 49 CFR Part 661.

Date September 18, 2015

Signature *Marion H. Van Zon*

Company Name Brookville Equipment Corporation

Title President



WARNING!!! BIDDER SHALL SIGN ONLY ONE CERTIFICATE – EITHER THE CERTIFICATE OF COMPLIANCE ABOVE OR THE CERTIFICATE OF NON-COMPLIANCE BELOW. SIGNING BOTH CERTIFICATES SHALL MAKE THE BID NON-RESPONSIVE.

Certificate of Non-Compliance With 49 USC § 5323(j)(2)(C)

The Bidder hereby certifies that it cannot comply with the requirements of 49 USC § 5323(j)(2)(C), but it may qualify for an exception to the requirements pursuant to 49 USC § 5323(j)(2)(B) or (j)(2)(D) and applicable regulations in 49 CFR Part 661.7.

Date _____

Signature _____

Company Name _____

Title _____

(Applicable to Suppliers and Subsuppliers of any tier seeking a Subcontract exceeding \$100,000.
Bidder's attention is directed to Supplementary Conditions Article SC10.12.)

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION,
INELIGIBILITY AND VOLUNTARY EXCLUSION -
LOWER TIER COVERED TRANSACTIONS**
Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Orders 12549 and 12689. You may contact the BART Procurement Department, telephone 510-464-6545, for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is disbarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List by contacting the BART Procurement Department, telephone 510-464-6545, for assistance.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under Paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

CERTIFICATION REGARDING DEBARMENT, SUSPENSION,
INELIGIBILITY AND VOLUNTARY EXCLUSION -
LOWER TIER COVERED TRANSACTIONS

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Date: 09/18/2015

Brookville Equipment Corporation

Name of Proposer

By:



Signature of Proposer

Marion Van Fosson, President

Print Name and Title of Person Signing

(Bidder's attention is directed to Paragraph 6.H of the Instructions to Bidders and Supplementary Conditions Article SC10.22 of the Contract, Certification Regarding Lobbying. The Certification shall be executed by the Bidder and by applicable Subcontractors or Subsuppliers of any tier receiving an amount in excess of \$100,000 and submitted by the Bidder either with the Bid or within the time frame specified in the Instructions to Bidders or before commencement of Subcontract work, as specified in the Instructions to Bidders.)

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of a Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal Contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including Subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

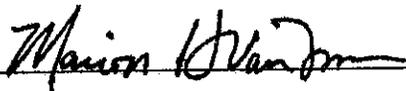
This certification is a material representation of fact upon which reliance is placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Brookville Equipment Corporation

Name of Firm

By: Marion Van Fosson

Please print name of signator:



Title: President

SAN FRANCISCO BAY AREA RAPID TRANSIT DISTRICT
CERTIFICATION REGARDING FINANCIAL CONTRIBUTIONS

(Submit with Bid)

Certification to be executed by Bidder and each proposed first-tier Subcontractor or Subsupplier whose Subcontract exceeds \$100,000. Make additional copies of the Certification as necessary.

Bidder must use its best efforts to collect the Certification from each first-tier Subcontractor or Subsupplier whose Subcontract exceeds \$100,000 and submit such Certifications along with its own to the District on the Bid date.

Bidder is advised that all Certifications must be submitted on the Bid date unless there is reasonable cause for delay; however, Bidder is cautioned that unless all Certifications are submitted within five (5) Days after the date Bids are due, the Bid may be considered non-responsive.

The undersigned certifies that:

1. It will not make any monetary or in-kind contribution (including loans) to any BART Director, or any candidate for Director, in excess of \$1,000 from the date Bids are opened by the District until the Award of the Contract.
2. It understands that the term "contribution" shall have the same meaning as defined in Government Code Section 82015 and implementing regulations adopted by the Fair Political Practices Commission.

Brookville Equipment Corporation

Name of Firm

 9/18/2015
Signature Date

Marion Van Fosson, President

Print Name and Title

(Bidder's attention is directed to Article SC7.3 of the Supplementary Conditions. Certificate shall be executed by the Bidder and submitted with the Bid or within the time frame specified in the Instructions to Bidders. Failure to do so may make the Bid non-responsive.)

DRUG AND ALCOHOL PREVENTION/TESTING PROGRAM CERTIFICATE

The Bidder hereby certifies that it has and will maintain a drug and alcohol program that meets the standards and complies with the requirements of the U.S. Department of Transportation's regulations concerning transportation workplace drug and alcohol prevention/testing programs as set forth in 49 CFR Parts 40, and 655, as they may be amended from time to time.

Brookville Equipment Corp.
Name of Firm

Erin McKillip 8/17/15
Signature Date

Erin McKillip, Director, HR
Print Name and Title