



Office of the
**INDEPENDENT
POLICE AUDITOR**

BAY AREA RAPID TRANSIT DISTRICT

MONTHLY REPORT

January 2017

Issue date: February 13, 2017

This report is filed pursuant to the BART Citizen Oversight Model, Chapter 1-05 (A), which requires the Office of the Independent Police Auditor (OIPA) to submit reports to the BART Police Citizen Review Board (BPCRB). This report provides information for the period **January 1, 2017 through January 31, 2017**.¹

The Quantitative Report includes all complaints received and administrative investigations initiated by both OIPA and the BART Police Department (BPD) Internal Affairs Division.

QUANTITATIVE REPORT

	Cases Filed ²	Open Cases ³	OIPA Investigations Concluded ⁴	Cases Appealed to OIPA ⁵	Cases Appealed by BPCRB ⁶
January 2016	9	64	0	0	0
February 2016	14	63	0	0	0
March 2016	14	67	0	0	0
April 2016	10	63	0	0	0
May 2016	8	62	0	0	0
June 2016	17	68	0	0	0
July 2016	7	68	0	0	0
August 2016	9	61	0	0	0
September 2016	9	57	0	0	0
October 2016	6	51	0	0	0
November 2016	13	55	1	0	0
December 2016	9	57	0	0	0
January 2017	6	52	0	0	0

TYPES OF CASES FILED

Citizen Complaints (Formal)	6
Informal Complaints ⁷	0
Administrative Investigations	0
TOTAL	6

CITIZEN COMPLAINTS RECEIVED PER DEPARTMENT⁸

OIPA	0
BART Police Department	6
TOTAL	6

COMPLAINTS/INVESTIGATIONS INITIATED DURING REPORTING PERIOD

During January 2017, **6 Citizen Complaints (Formal)** were received by BPD:

Complaint # (IA Case #)	Nature of Complaint	Action Taken	Days Elapsed Since Complaint Filed
1 (IA2017-001)	Officer #1: • Conduct Unbecoming an Officer	BPD initiated an investigation.	28
2 (IA2017-002)	Officer #1: • Arrest or Detention • Conduct Unbecoming an Officer	BPD initiated an investigation.	26
3 (IA2017-003)	Officer #1: • Force	BPD initiated an investigation.	19
4 (IA2017-004)	Officer #1: • Bias-Based Policing	BPD initiated an investigation.	20
5 (IA2017-005)	Officer #1: • Performance of Duty	BPD initiated an investigation.	27
6 (IA2017-006)	Officers #1-2: • Arrest or Detention Officer #2: • Policy/Procedure	BPD initiated an investigation.	18

COMPLAINTS/INVESTIGATIONS CONCLUDED DURING REPORTING PERIOD

During January 2017, **4 Citizen Complaints (Formal)** were concluded by BPD:

Complaint # (IA Case #)	Nature of Complaint	Disposition	Days Elapsed Since Complaint Filed	Days Taken to Complete Investigation
1 (IA2016-040)	Officer unlawfully detained complainant and did so on the basis of race.	Officer #1: • Bias-Based Policing – Unfounded	263	222
2 (IA2016-058)	Officer used excessive force and generally mistreated complainant.	Officer #1: • Force (Counts 1-2) – Not Sustained • Force (Count 3) – Exonerated • Conduct Unbecoming an Officer – Unfounded	247	215

3 (IA2016-077)	Officer unlawfully detained complainant on the basis of race and was discourteous, aggressive, and loud during the contact.	Officer #1: <ul style="list-style-type: none"> • Bias-Based Policing – Not Sustained • Arrest/Detention – Exonerated • Conduct Unbecoming an Officer – Not Sustained • Courtesy – Not Sustained 	175	145
4 (IA2016-082)	An officer drove his BPD vehicle dangerously.	Officer #1: <ul style="list-style-type: none"> • Conduct Unbecoming an Officer – Supervisory Referral⁹ 	193	179

During January 2017, **2 Administrative Investigations** were concluded by BPD:

Investigation # (IA Case #)	Nature of Allegations	Disposition	Days Elapsed Since Investigation Initiated	Days Taken to Complete Investigation
1 (IA2016-011)	Employees did not properly route a call for service.	Employee #1: <ul style="list-style-type: none"> • Performance of Duty – Sustained Employee #2: <ul style="list-style-type: none"> • Performance of Duty – Not Sustained 	375	334
2 (IA2016-042)	Officer battered a domestic partner.	Officer #1: <ul style="list-style-type: none"> • Criminal (Counts 1-5) – Not Sustained 	292	260

During January 2017, **1 Informal Complaint** was addressed by BPD:

Complaint # (IA Case #)	Nature of Complaint	Disposition	Days Elapsed Since Complaint Filed	Days Taken to Complete Investigation
1 (IA2016-097)	BPD Employee made a false complaint regarding the conduct of a BART employee.	Employee #1: <ul style="list-style-type: none"> • Conduct Unbecoming – Supervisory Referral 	104	72

Also during the month of January 2017, BPD classified each of the following cases as an **Inquiry** and **Administratively Closed** the complaints¹⁰: IA2016-031 (Investigation revealed that no complaint was lodged), IA2016-069 (Complainant discontinued contact with Internal Affairs and no corroboration of incident was contained in BPD records), and IA2016-098 (Complainant withdrew complaint regarding parking citation).

COMPLAINTS/INVESTIGATIONS CONCLUDED DURING PREVIOUS REPORTING PERIOD

During December 2016, **1 Informal Complaint** was concluded by BPD:

Complaint # (IA Case #)	Nature of Complaint	Disposition	Days Elapsed Since Complaint Filed	Days Taken to Complete Investigation
1 (IA2016-092)	Officer recklessly drove BPD vehicle.	Officer #1: <ul style="list-style-type: none"> Conduct Unbecoming an Officer – Supervisory Referral 	124	51

DISCIPLINE ISSUED DURING REPORTING PERIOD

During January 2017, BPD took the following actions in cases where one or more allegations of misconduct were sustained:

Case #	Nature of Sustained Allegation(s)	Classification of Sustained Allegation(s)	Action Taken
1	Officer unsatisfactorily recorded details of a use of force in a written report.	Officer #1: <ul style="list-style-type: none"> Performance of Duty 	Officer #1: <ul style="list-style-type: none"> Written Reprimand

ADDITIONAL NOTES

In accordance with the BART Citizen Oversight Model, OIPA investigates certain complaints, conducts complainant-initiated appeals, and also monitors and/or reviews complaint investigations conducted by BPD. Though potentially work-intensive, some complaint investigation reviews are completed informally, with any concerns being addressed through a conference with BPD's Internal Affairs investigators. Noting the various kinds of work that OIPA undertakes with regard to complaints and investigations, the following is a snapshot of some of the pending cases in which OIPA is involved as of the end of this reporting period.

Investigations Being Conducted	2
Complainant-Initiated Appeals	0
Investigations Being Monitored	17
Investigations Reviewed During Current Month	22†

†This number does not include all OIPA reviews, as OIPA commonly looks at a variety of cases in the Internal Affairs database to obtain updates on both pending and completed investigations.

¹ In addition to reporting on complaints received by the BART Police Department, the Citizen Oversight Model requires reporting on all complaints received by the “Citizen Board, Office of the District Secretary, and other District departments.” As complaints received by the BART Police Citizen Review Board are customarily directed to OIPA for further action, such complaints are included in the Quantitative Report above; OIPA is also made aware of additional complaints about the BART Police Department by the Office of the District Secretary or other District departments.

² This number includes all Citizen Complaints filed against members of the BART Police Department, as well as Administrative Investigations generated internally by BART Police Department members (as opposed to being filed by a citizen). This number also includes previously completed cases that have been re-opened during the current reporting period.

³ This number indicates all investigations that are open as of the end of the reporting period. It includes Citizen Complaints (regardless of whether the investigation is being conducted by OIPA, the BART Police Department, or both) and Administrative Investigations.

⁴ This number includes all cases completed by OIPA during the reporting period for which OIPA’s findings are required by the BART Citizen Oversight Model to be submitted to the BART Police Citizen Review Board. It therefore includes independent investigations, as well as reviews of completed BART Police Department investigations initiated via appeal from a complainant. Unless otherwise noted, it does not include reviews of BART Police Department investigations initiated at the discretion of OIPA, which happen commonly and do not always generate a formal report; it also does not include reviews conducted by OIPA of complaint investigations where the complaint was filed with OIPA but did not fall under OIPA’s investigative jurisdiction.

⁵ This number refers to appeals filed with OIPA by complainants who have been issued the findings of the BART Police Department’s internal investigation into their complaint regarding on-duty incidents. OIPA has a responsibility to review such appeals pursuant to the BART Citizen Oversight Model, Chapter 1-04 (E).

⁶ This number refers to all appeals initiated by the BART Police Citizen Review Board after receiving and reviewing the findings issued by OIPA in a given case. The routes of all such appeals are described in detail in the BART Citizen Oversight Model, Chapter 1-04 (B) (iv-v).

⁷ The BART Police Department defines an Informal Complaint as, “A comment on the actions of a Department employee, where the reporting party expressly states that he or she does not feel that the matter should be formally investigated with the understanding that an Informal Complaint does not hold the potential to result in disciplinary action against the employee.” (BART Police Department Policy Manual, Policy 1020.1.1(d)).

⁸ It is important to note that OIPA does not separate citizen complaints it receives into “Formal” and “Informal” classifications. This chart reflects all citizen complaints received by OIPA and all Formal Complaints received by the BART Police Department.

⁹ A Supervisory Referral refers to an instance involving an Inquiry or an Informal Complaint. An assigned supervisor addresses the issue informally with the involved employee and documents the content of the conversation with a memorandum to IA.

¹⁰ Administrative Closure refers to allegations that are received and documented; however, the Chief of Police or his/her designee determines, based on a preliminary investigation, that further investigation is not warranted. Under these circumstances, the complaint will be Administratively Closed and documented in a summary memorandum to the case file. Employees will be documented as witnesses only, not as subjects to the complaint. Internal Affairs will send a letter to the complainant notifying them that the case was closed following a preliminary investigation.