









## Next Steps

### Principal Investigative Auditor Recruitment

We are starting the process to recruit for our newly created *Principal Investigative Auditor* position. We have submitted our request to Human Resources and plan to announce the opportunity soon. If all goes according to plan, we hope to complete our recruitment by January 2021. This new addition is greatly needed, as we are severely understaffed. The *Principal Investigative Auditor* will be responsible for conducting a broad range of fraud, waste, and abuse investigations and performance audits. We expect investigations to be the position's initial primary responsibility so that we can catch up on our caseload.



Our new investigative auditor will be a much-needed addition to our under-resourced office.



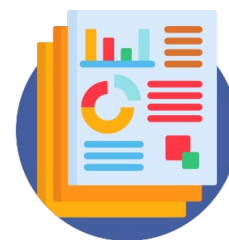
Contracted services will assist in relieving the strain on our limited resources and take deep dives into fraud concerns.

### Contracted Services

We are seeking contracted services to assist our office in completing investigations or audits of construction and construction-related contracts and projects. We have received complaints and identified fraud risks that require detailed, in-depth analyses that exceed our office's current staffing capacity. We will obtain the services of independent professional firms with the analytical and technical expertise necessary for fraud and construction contracting investigations. This will allow us to move forward on work we have had to delay due to competing, high-priority projects. The Assistant Inspector General will serve as the project manager on this work while also addressing other needs of our office. We identified several small businesses to which we will send our request for quotations, along with some well-known organizations that do the work we need. Our expected new hire may take on some of this work in the future. In the meantime, the contracted services will help fill our gaps in staffing so we can better manage our workload.

### Fraud, Waste, & Abuse Investigation Reports & More

We will be presenting four investigation reports at the Ad Hoc Audit Committee meeting on October 29, 2020. The investigations looked at pricing for standard computer equipment, recruitment and staffing practices, and the BART passes provided on Bike to Wherever day. The issues came into our office as fraud, waste, or abuse complaints. We will also be evaluating our case backlog to determine how best to prioritize and assign other open cases, which will go to either our expected new employee or the professional firms we contract with for investigation and auditing services.



Four new investigation reports.

**Office of the  
Inspector General**



Harriet Richardson  
Inspector General



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**Providing Independent  
Oversight of the District's  
Use of Revenue**

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**Stop Fraud, Waste, and Abuse  
Report What You See to the OIG**



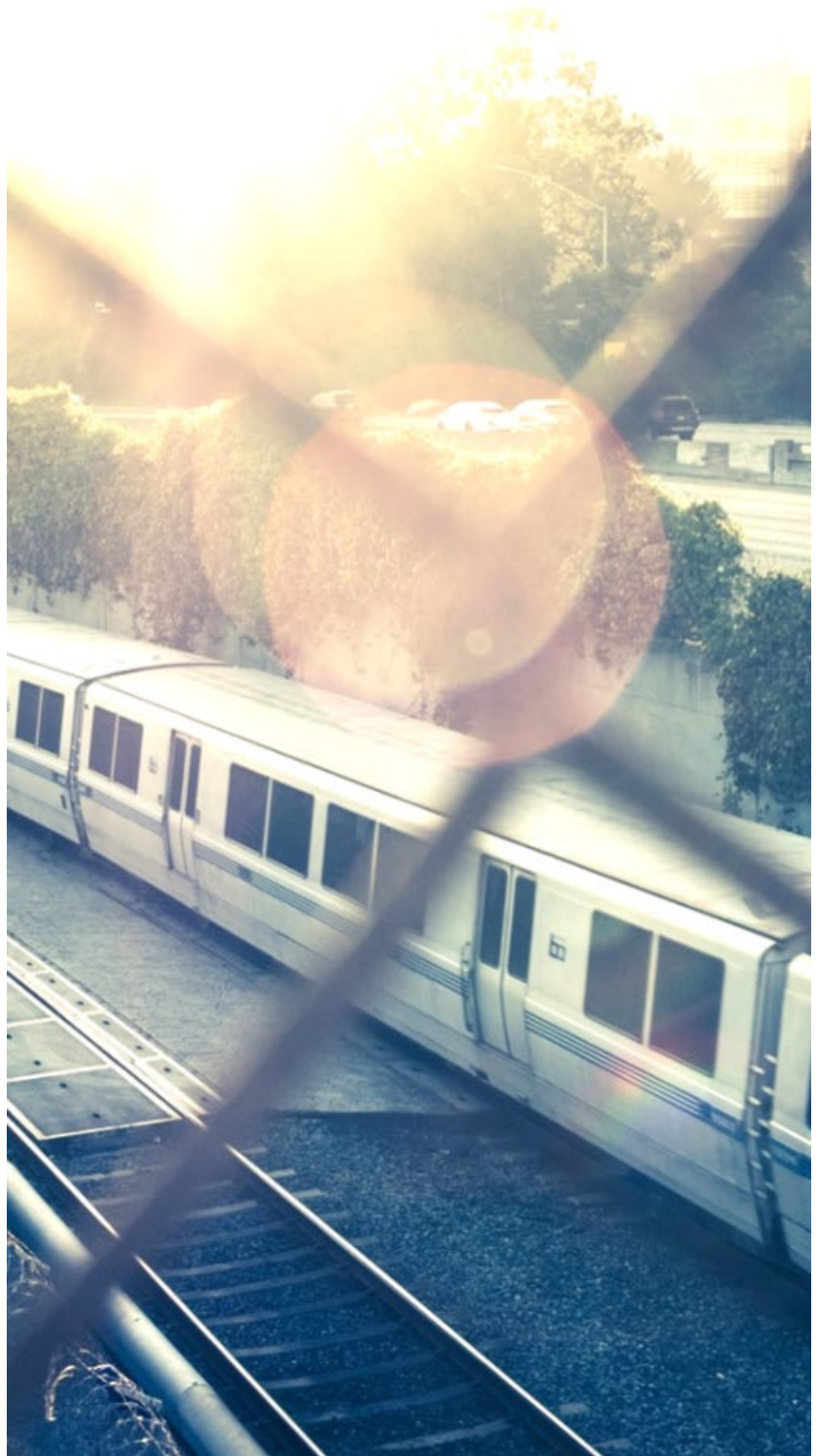
**24/7 Fraud, Waste, & Abuse  
Whistleblower Hotline**



[www.bart.gov/OIGhotline](http://www.bart.gov/OIGhotline)



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EXAMPLE OF POSTER TO BE HUNG IN WORK SITES



# FRAUD, WASTE, & ABUSE HOTLINE

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## REPORT WHAT YOU SEE TO THE OIG