



Fraud, Waste, or Abuse Complaint Form

BART's Office of the Inspector General (OIG) investigates allegations of improper governmental activities that involve fraud, waste, or abuse regarding BART's programs or operations, including contracted activities. Allegations may be reported by BART employees, contractors, or the general public. Complainants remain anonymous unless they give written permission for their names to be revealed or if release of their name is required to be provided to appropriate law enforcement personnel who are conducting a criminal investigation. Prior to submitting a complaint, please visit www.bart.gov/IG to determine if your allegation meets the definition of fraud, waste, or abuse and to read more about whistleblower protections.

PLEASE NOTE: Improper governmental activities cannot be related to personnel matters, including but not limited to grievances, reprimands, suspensions, demotions, performance ratings, or general dissatisfaction with management decisions.

Your Information

You have the option to remain anonymous, but our inability to discuss this matter directly with you may prevent us from investigating this complaint. Please click this box if you wish to remain anonymous: ☐

First Name:

Last Name:

Department/Company Name:

Title:

Phone:

Email:

How did you hear about the whistleblower program?

Subject(s) Information

*First Name:

*Last Name:

*Subject's Organization (name, department, and division, if known):

Title:

Address

Phone:

Email:

Subject's Supervisor's Name:

Supervisor's Phone:

Supervisor's Email:

If multiple people are involved, please email information for the additional subjects to

Allegation

Please provide a detailed description of the improper activity, including:

- What is the improper activity (state a specific activity, not a generic one)?
- How did you become aware of the incident (e.g., personal observation, someone else told me they saw or heard about it)?
- What laws, regulations, policies, rules, or terms of contracts or grant provisions were violated?
- Where did the improper activity occur?
- What was/is each person's role in the improper activity?
- On or about what date did the activity first occur?
- Is the activity still ongoing?
- If quantifiable, what is the estimated dollar loss or potential amount for recovery?
- Are any supervisors or managers aware of the improper activity, and if so, have they taken any steps to stop it?

*Complaint:

Evidence

Specific evidence is needed to support allegations. Please provide a list of available evidence to corroborate the allegation. Evidence can include, for example:

- Documents such as emails, invoices, logs, contracts, vouchers, spreadsheets, memoranda, letters, pictures, reports, minutes/meeting notes
- A vehicle number or license plate number
- Sample materials

For each piece of evidence listed, please provide the name(s) and contact information (phone number and email) of the individual(s) who created it.

*Evidence:

Please email copies of files that can support your allegation to InspectorGeneral@bart.gov.

Other Investigations of Allegation

Has this issue been reported to or investigated by BART or another agency, or have you filed a lawsuit or grievance related to this issue? Yes ☐ No ☐

*If yes:

Provide the organization where reported, and name, title, and contact information of the person responsible for conducting the investigation:

*What is the current status of the investigation: In Progress ☐ Complete ☐

If complete, please describe the outcome of the investigation (e.g., substantiated and reasons, not substantiated and reasons, if provided:

If available, please email a copy of the final report to InspectorGeneral@bart.gov.

Witness Information

Do others know about the improper activity, and if so, what do they know? Please provide information about each witness:

First Name: Last Name:

Witness' Organization (name, department, and division, if known and applicable:

Title:

Address:

Phone: Email:

Please describe the witness' relationship to the subject and the information that they can confirm:

If there are multiple witnesses, please email the additional witness information to InspectorGeneral@bart.gov.

*☐ I certify that this disclosure is made in good faith and that all statements made in this disclosure are true, complete, and accurate to the best of my knowledge and belief.

Whistleblower Protection

Individuals who report an improper activity by a District employee or contractor doing business with BART or who participate in an investigation of suspected ethics violations are protected from retaliation under California Labor Code Section 1102.5 and other California laws, as well as BART's Whistleblower Policy.

PLEASE EMAIL YOUR COMPLETED FORM TO
InspectorGeneral@bart.gov